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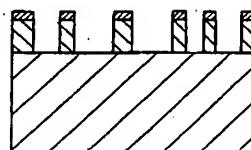
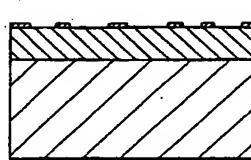
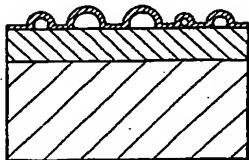
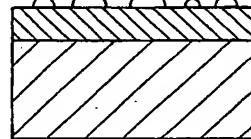
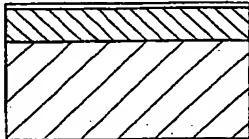
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(54) Title: **A PROCESS FOR MAKING ISLAND ARRAYS**



(57) Abstract: A method of fabricating electronic, optical or magnetic devices requiring an array of large numbers of small features in which regions defining individual features of the array are formed by the steps of: (a) depositing a very thin film of a highly soluble solid onto a flat hydrophilic substrate; (b) exposing the film to solvent vapour under controlled conditions so that the film reorganises into an array of discrete hemispherical islands on the surface; (c) depositing a film of a suitable resist material over the whole surface; (d) removing the hemispherical structures together with their coating of resist leaving a resist layer with an array of holes corresponding to the islands; and (e) subjecting the resulting structure to a suitable etching process so as to form a well at the position of each hole. The wells which are formed by this process may be used to fabricate various types of devices, including arrays of semiconductor devices, and crystalline heterostructures in which the lattice constants of the component materials are different.

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This invention relates mainly, but not exclusively, to semi-conductor device fabrication, and in particular to methods of fabricating semi-conductor devices in materials such as silicon or gallium arsenide, or other III-V compounds and metal devices in tungsten, gold and silver.

Where it is required to produce semi-conductor or other devices requiring detailed patterns, on a single "wafer" of material, it is of course possible to produce such patterns, by means of methods such as electron-beam lithography or photolithography and successive masking stages. However, such methods do require quite complex equipment and preparations, particularly when it is required to make large arrays of very small devices, because this requires complex equipment and step and repeat strategies.

Consequently there is a need to be able to make (ca. 1 to 0.01 micron) feature size structures on semiconductor wafers and other thin solid substrates by a fast and practical method over large areas. With such a method it would for example be possible to make dense arrays of field emitting structures and other devices needing high densities of special features. The method of controllable island lithography is a solution to this problem.

The process of island lithography has several major process steps. The first major stage is the deposition of a thin film of a highly soluble solid onto the material on which the features are to be made; followed by exposure to a fixed vapour pressure of the solvent in which the deposited layer is soluble. Such treatment causes the deposited thin film to re-organise from being a thin film into an array of hemispherical islands. The second major processing stage is to employ these islands as resist in a reactive etching process so as to obtain arrays of pillar like structures or arrays of cones. The essential point about reactive etching is that it is directional, etching downwards on to the surface much faster than side wise. This is in contrast to simple liquid phase etching that is homogeneous in behaviour, etching equally in all directions at the point of contact.

One such system which has previously been proposed, depends on the effect that very thin films of cesium chloride deposited on a hydrophilic substrate when exposed to water vapour under controlled conditions will re-organise into a hemispherical island array. The characteristics of the array are that it is disordered and near to Gaussian in size distribution: the array is described by a fractional coverage (F) called "packing density", with islands of a mean diameter

( $\langle d \rangle$ ), having a particular standard deviation. This technique can be used as a well controlled process for producing island arrays of known characteristics on silicon surfaces, with mean diameters ranging from 30 to 1200nm (ca. $\pm 17\%$ ). Distributions of such CsCl island arrays have previously been used<sup>2</sup> as a resist in the RIE (reactive ion etching, chlorine based) fabrication of mesoscopic pillar structures on n<sup>+</sup>GaAs. The measured photo luminescent spectra showed large band gap increases arising from quantum confinement effects. There have been other proposed approaches to nano-scale lithography using condensation effects leading to self-organising systems<sup>3-5</sup>, e.g. metal nuclei have been used to fabricate dense arrays of field emission tips<sup>6,7</sup>.

The present invention relates to an important extension to this process that makes it more useful and versatile and involves the addition of a major process step between the deposition and etching processes which turns the overall process from a positive to a negative process.

Thus, the present invention can provide a method of device fabrication in silicon, silicon dioxide, gallium arsenide, indium antimonide and other etchable solids for the production of cones and wells.

Accordingly the present invention provides a method of electronic, optical or magnetic device fabrication in which "negative" regions for defining individual features of devices are formed by the steps of:

- a) depositing a very thin film of a highly soluble solid onto a flat hydrophilic substrate;
- b) exposing the film to solvent vapour under controlled conditions so that the film reorganises into an array of discrete hemispherical islands on the surface;
- c) depositing a film of a suitable resist material over the whole surface;
- d) removing the hemispherical structures together with their coating of resist leaving a resist layer with an array of holes corresponding to the islands; and
- e) subjecting the resulting structure to a suitable etching process so as to form a well at the position of each hole.

The highly soluble solid may be a salt such as cesium chloride, in which case the solvent used will be water. The substrate may for example be an SiO<sub>2</sub> layer on Si, or gallium arsenide or indium antimonide. Preferably the resist material is aluminium which is vapour-deposited, and in a preferred embodiment of the invention, the removal of the coated hemispherical structures is achieved by submerging the structure in an ultrasonic agitation bath filled with solvent that has the effect of dissolving the islands and thus removing the thin layer of material in

which they were coated, leaving a perforated film over the rest of the substrate, namely covering the "ocean" area in which the islands are located. This process step is known as a "lift-off" process. This perforated film whose holes correspond to the now removed islands can act as a resist in an etching process.

5. The etching may be by reactive ion etching whereupon the holes in the resist are etched to make well like structures. In this negative resist case it is also possible to use laser assisted etching to make well like structures because laser etching is directional, etching faster in the direction of the laser beam than sidewise to the beam.

10. A variant to the "lift-off" process described above is to add directionality, so creating an anisotropic system. If instead of depositing a resist film over the islands and substrate by direct downwards evaporation the vapour stream is directed at an angle that is a grazing angle to the substrate, the islands will cast a deep shadow in which there will be no deposition of material. In this way the holes 15 in the film remaining after "lift-off" will be oblong, nearly elliptical, in shape and all with their long axis in the same direction. The wells made by etching will follow the shape of these elliptical holes in the thin film resist. It is a step in the fabrication of certain anisotropic composite materials.

20. The first application of this island resist method as a positive resist scheme was in the fabrication of arrays of pillars in gallium arsenide. In this case a thin layer of cesium chloride was thermally evaporated onto a wafer of gallium arsenide whose surface had been treated so that it was hydrophilic. The coated wafer was placed in a chamber at a controlled vapour pressure of water for a fixed period of time. This treatment causes a multi-layer of water to condense on the 25 surface of the cesium chloride and also the substrate when it becomes unmasked. The island array develops and grows as a result of the presence of this liquid layer in which the cesium chloride is soluble. The resulting island array has a certain average island diameter and a population of islands with a Gaussian distribution and a particular width at half full height and a particular packing density.

30. Some embodiments of the invention will now be described by way of example with reference to the accompanying illustrations in which:

Figure 1a illustrates the deposited layer of CsCl on the SiO<sub>2</sub>/Si;  
Figure 1b illustrates the formation of CsCl islands on the substrate;  
Figure 1c shows the formation of an Al film over the structure of Figure 1b;  
35 Figure 1d shows the structure of Figure 1c after ultrasonic agitation;  
Figure 1e shows the effect of subjecting the structure of Figure 1d to RIE;

- Figure 2 is a perspective view of a "tip-array" formed using CsCl as resist;  
Figure 3 is a graph of wall-angle and etch-rate ;  
Figure 4 is a perspective view of pillars formed by CsCl hemispheres  
acting as resist;  
5 Figure 5 is a plan view of an array of CsCl hemispheres coated with Al;  
Figure 6 shows the array of Figure 5 after removal of the hemispheres;  
Figure 7a is a perspective view of wells formed in SiO<sub>2</sub> on Si;  
Figure 7b is a perspective view of a sectioned well in the structure of  
Figure 7a; and  
10 Figure 8 shows further examples of structures formed by using the  
methods of the invention.
- Methods of CsCl island fabrication are explained in detail in references (1)  
and (2). Briefly the silicon substrate coated in native oxide (both n- and p-type  
samples can be used) are etched and washed so as to give a reproducible  
15 hydrophilic surface. CsCl is evaporated on the surface. The CsCl coated  
substrate is then transferred (under dry conditions) to a chamber of fixed water  
vapour pressure. The thin film of CsCl develops into an island array of  
hemispheres whose dimensional characteristics depend upon initial thickness,  
water vapour pressure and time of development. The developed substrate is  
20 transferred to the scanning electron microscope under unchanged humidity, where  
the island array can be photographed for measurement.

#### Tip Fabrication

The tip fabrication illustrated in Figure 2 resulted from using the humidity  
value of the prevailing laboratory conditions (40%) and the CsCl thickness and  
25 development time were then chosen to give the desired distribution. The  
development time was the time elapsed from removing the CsCl coated silicon  
from the deposition chamber to the RIE chamber at the moment of its pump down.  
The fabrication of tips was carried out on n-type and p-type silicon substrates of  
{100} orientation as described above. Etching was carried out in equipment  
30 obtained from Oxford Plasma Technology (model RIE80, fitted with a 6.5" table).  
The conditions for island growth were:- CsCl thickness 66Å; relative humidity 40%;  
and 5 min. exposure time. This resulted in an array of hemispherical islands with  
packing density 0.18, and mean diameter 850Å ± 200 Å. This CsCl/Si system,  
placed upon a silica glass plate in the RIE apparatus, was etched for 3 minutes in  
35 a gaseous mixture made by combining O<sub>2</sub>: Ar: CHF<sub>3</sub> in a ratio of flow rates of 1:  
10: 20 sccm. The total chamber pressure was 40 millitorr, the total rf power was

155 watts and the dc bias was 400 volts. This process resulted in the tip array shown on Fig 2. For these conditions we measured a tip angle of ca. 28°. The tip diameter is not observable in our sem. and must be <100Å.

It is possible to form regular cones with a required wall angle (the angle that the side makes with the horizontal) by controlling the etching process. Figure 3 shows the wall angle as a function of total pressure for a 1:10:20 mixture; average power 61 watts; 300 dc bias. The relation of wall angle to some of the other independent variables is not shown, but the trends are as follows. There is an increasing etch rate with increasing dc bias; comparative insensitivity to Ar flow rate, at least for plus/minus a factor of two in flow-rate; and, wall angle and etch rate both increase with increasing CHF<sub>3</sub> flow rate. When the total pressure is in excess of 75 millitorr we observe rough surfaces and the on-set of a component of horizontal silicon etching, as evidenced by under-cutting of the CsCl: this is shown in Figure 4, for which the etching conditions were: 1:10:20 mixture; total pressure 87 millitorr; 73 watts; 300 volt dc bias; 15 mins. etching time. In general it can be seen that there is a shallow depression in the substrate around each pillar or tip. This shallow "trenching" can be ascribed to enhanced, proximity, sputtering arising from ions scattered from the vertical features<sup>8</sup>.

#### Well Fabrication

The procedure for well fabrication, cf. Figure 1, is first to grow the CsCl island array on SiO<sub>2</sub> on Si: here we are interested in larger hemispheres, in the 0.5 to 1 micron range. A film of Al is then evaporated over this structure, and the Al film that coated the CsCl hemispheres is then caused to lift off, by means of ultrasonic agitation in water. The remaining Al can then act as a resist, enabling holes to be etched in the SiO<sub>2</sub>.

In order to grow large hemispheres of CsCl comparatively thick films of CsCl are needed and it is necessary to expose these films to a relatively high humidity. As an example: using 1350Å thick CsCl, developed at 55% relative humidity for 92 hrs., gave an average hemisphere diameter of 9200±1460Å and a packing density of 35.4%. Figure 5 shows the CsCl islands coated by a 1050Å thick Al film. This array was made on a thermally grown oxide on silicon: the hydrophilic oxide was 3200Å thick. On to this CsCl/SiO<sub>2</sub> surface was evaporated pure Al to a thickness of 1060Å. The Al coated structure was ultrasonically agitated for 2 minutes. The result was the complete removal, i.e. lift-off, of the Al which covered the CsCl, leaving an Al coating with an array of holes matching the developed CsCl array, as shown on Figure 6. This structure was subjected to RIE using Oxford Plasma

Technology equipment (model RIE80, fitted with a 6.5" table). The Al/SiO<sub>2</sub>/Si system was placed upon a silica glass plate in the RIE apparatus under the following conditions:- feed-gas 10:20 sccm (Ar:CHF<sub>3</sub>); total pressure 5 millitorr; at 160 watts and 220 dc. bias for 5 mins.: the resulting well structure is shown in

5 Figures 7a and b.

There are a number of possible variations in the materials and methods described above. For example the composition of the layer forming the substrate for CsC<sub>1</sub> growth could also be WO<sub>3</sub> or Si<sub>3</sub>N<sub>4</sub>. The layer formation method for SiO<sub>2</sub> is preferably thermal oxidation at a temperature of 1050-1350° C for 1-8 hours, with

10 an O<sub>2</sub> flow rate of 0.5-3 litres per minute. Alternatively the layer can be formed by sputtering with a plasma gas comprising Ar and/or O<sub>2</sub> and/or N<sub>2</sub>, with RF power of 30-200W for a period of 0.5-30 minutes, the target being either SiO<sub>2</sub>, Si or W. The chamber pressure can be 1-50 m Torr.

The formation of the CsC<sub>1</sub> hemispheres can be done under a range of

15 conditions, e.g.

chamber pressure:	5 × 10 <sup>-5</sup> to 1 × 10 <sup>-3</sup> Pa,
evaporation rate	0.2-50 angstrom/sec
substrate temperature	-30 to +30 deg C
thickness	1-200nm
20 ripening relative humidity	0.63%
ripening time	5 min – 60 days

angle between substrate surface and base of hemisphere wall:up to 90 degrees.

The resist layer can also be of various alternative materials to Al, e.g. Cr, Au, SiO<sub>2</sub>, Si<sub>3</sub>N<sub>4</sub>, and may be formed by vacuum evaporation or sputtering as appropriate. In the case of vacuum evaporation, this will normally be done at a chamber pressure of between 5 × 10<sup>-5</sup> and 1 × 10<sup>-3</sup> Pa, an evaporation rate of 0.2 – 50 angstrom/sec, and a substrate temperature of -30 to +200° C. In the case of sputtering, the plasma gas used would be Ar and/or O<sub>2</sub> and/or N<sub>2</sub> and the RF power 30-200 W for a period of 0.5-30 minutes. The target could be Al, Cr, Au, SiO<sub>2</sub> or Si, and the chamber pressure 1-50m Torr.

The ultrasonic agitation process can also be carried out under a range of different conditions. The preferred frequency range is 24-100 kHz, power 13-130W and power density 0.05 – 0.5 W/cm<sup>2</sup>. The time take for the ultrasonic agitation to be effectively completed may be between 5 seconds and 60 minutes, and the preferred solvent is water.

When the evaporation of the resist material is carried out at a grazing angle, this may vary between 15 and 90 degrees to the surface and the major/minor axis ratio of the ellipsoids thus formed will of course depend on this angle, but is generally up to 4-1.

- 5 The rate between the resist (Al) thickness and the CsCl mean diameter must be less than 0.2, and will generally be in the range of 0.005 – 0.2.

### DISCUSSION

- Cesium chloride is eroded by physical sputtering processes only, while the silicon is finally chemically removed. The selectivity, which is the rate of silicon 10 etching to that of the cesium chloride, can be determined from the physical characteristics of the tip structures. Several possible cases can be considered. For the case that the sputtering rate,  $\omega$ , of CsCl is uniform over the surface of the hemisphere, the time,  $T$ , for removal of a hemisphere of initial radius  $R_0$  is,

$$T = R_0 / \omega$$

- 15 While the resist is being sputtered away the silicon is being etched vertically, at a rate  $v$ , so that a conical structure results in the Si. The height,  $H$ , of the right regular cone will be,

$$H = R_0 v / \omega$$

The cone ("tip") angle  $\phi$  is

20  $\phi = 2 \tan^{-1} (\omega/v) = 2 \tan^{-1} (R_0/H)$

For example, we have measured an average  $\phi = 28^\circ$ , this gives a value of  $\omega/v = 4$ , which is the selectivity. For the case where sputtering of CsCl is only by vertical removal, at rate  $\alpha$ , the rate term  $\omega$  is replaced by  $\alpha$  in the above equations.

- 25 For vertical and horizontal CsCl sputtering (the latter being uniform in the plane parallel to the substrate) the relations are,

$$T = R_0 / (\alpha^2 + \eta^2)^{1/2}$$

where  $\eta$  is the horizontal rate: and the tip angle is,

$$\phi = 2 \tan^{-1} \left( \frac{(\alpha^2 + \eta^2)^{1/2}}{\nu} \right)$$

- 30 Thus the present invention enables the fabrication of pillars and cones of silicon in high packing density and of dimensions in the tens of nm region.

Furthermore wells in silicon dioxide on silicon can be made by a lift-off process, again in high packing density. The relation of wall angle to process

parameters in the RIE technique have been investigated and shown to be capable of control over a useful range of angles.

The wells formed by the lift-off process can be used to facilitate the formation of various other types of devices, particularly semiconductor devices.

- 5 One specific application for which it has been found particularly useful is in the fabrication of crystalline semiconductor heterostructures in which the lattice constants of the two component materials differ by less than ½%. Presently, these are usually formed by mismatched epitaxial methods.

In such "strained layer" systems (SLS) the epitaxial layers of the two 10 components are thinner than the critical thickness for the formation of misfit dislocations with the result that the layers remain "pseudomorphic", i.e. the atoms on either side of the interface remain in registry. In this case the "in-plane" lattice constants remain the same and the resulting strain can be deliberately used to modify the band structure of the system. With SLS the materials are generally 15 chosen (eg. GaAs and AlAs) so that the lattice mismatch is relatively small so that quite thick layers can be grown without dislocations forming.

In many other cases, it may be desirable to combine materials with very 20 different electrical, magnetic or optical properties despite the large mismatch precluding pseudomorphic growth for more than a few monolayers (i.e. when the mismatch in lattice constant exceeds about one percent). In this case the strain is relieved in the interface region by the formation of a high density of misfit dislocations. A critical thickness results which, when exceeded, causes the film to return to its natural lattice constant as this is the lower energy state for the system. A very high density of misfit dislocations ( $\sim 10^{11} \text{cm}^{-2}$ ) forms close to the interface. 25 The dislocation density then falls to  $\sim 10^7 \text{cm}^{-2}$  after growth has continued for a few microns and the film is then almost completely relaxed.

The effect of the dislocations formed near to interfaces or the resultant 30 threading dislocations which can spread throughout the film is to degrade the electrical and optical properties of the semiconductor. For example the electron mobility in InSb (the semiconductor having the highest mobility yet reported at room temperature of  $78,000 \text{cm}^2/\text{Vs}$ ) can become as low as  $100 \text{cm}^2/\text{Vs}$  as measured by the Hall effect in a 10nm InSb film grown on a GaAs substrate<sup>10</sup> (the lattice mismatch is 14% for this system). InSb is the favoured material for magnetoresistive or Hall sensors whose performance can consequently be severely reduced. The 35 dislocations also act as electron-hole recombination centres and therefore limit minority carrier lifetimes, spoil transistor action and also act to prevent lasing and

reduce LED and photo-detector efficiencies. Dislocations can also act as shorting paths through p-n tunnel junctions, thereby degrading current voltage characteristics. The movement of dislocations induced by strong biasing electric fields can lead to the catastrophic failure of lasers and other devices.

5 Apart from InSb/GaAs there are many examples of semiconductor material systems where the formation of misfit dislocations at interfaces presents severe problems and prevents the full exploitation of otherwise admirable properties; particularly the Si/Si<sub>1-x</sub>Ge<sub>x</sub> system which offers the prospect of a new generation of Si based devices and the GaN related system, presently being developed for blue  
10 and uv solid-state light sources where no lattice matched substrates exist. Other examples of materials combinations are InAs on GaAs where GaAs is chosen for substrates because of their cheapness and their electrically insulating properties; and the growth of compound semiconductors such GaAs on Si where the aim is to integrate optoelectronic, photonic or microelectronic devices on the same chip.

15 In order to obtain reasonable electrical and optical quality in mismatched films, film thicknesses exceeding ten microns may need to be grown. This is expensive and time consuming and may be undesirable for other reasons; e.g. leading to high device capacitances or preventing the use of the material sub-micron sensor applications.

20 Alternatively complex growth routines are introduced to minimise the dislocation formation. Two recent examples<sup>11</sup> of this for the InSb/GaAs system are (i) the deposition of the first 10 monolayers at much reduced temperature (310° to 325°C compared with 380°-400°C) so that the extent of the island growth mode can be restricted to 22nm (ii) the use of substrates of non-standard orientation where the  
25 growth mode and rate can be very different.

Another variation has been to grow the semiconductor on a substrate lithographically patterned with ridges or islands with the minimum feature size being of the order of one micron. If this characteristic length is smaller than the separation between threading dislocations, the local film quality then can approach that of the  
30 bulk material.

Recently a different approach has been demonstrated for the Si/Ge heterostructure combination<sup>12</sup>. As the first step an amorphous silicon dioxide film is deposited on a crystalline silicon substrate. Holes of diameter 100nm are then opened up in the oxide and Ge is selectively grown on the Si within the exposed  
35 areas. The threading dislocations are bent and blocked by the oxide sidewalls and the interfacial misfit dislocations are buried within the holes. Chemical selectivity

prevents the growth of the germanium directly on the oxides and a continuous film of Ge is eventually formed by sideways epitaxial overgrowth of the material from the region of the holes. The depth, spacing of the holes and wall angle are all critical parameters. For dislocation trapping to succeed the holes must be relatively 5 deep whereas an increase in separation decreases the strain in the film when the islands coalesce. Successful selective epitaxy of germanium on silicon through windows in silicon been demonstrated previously by a number of groups (e.g. <sup>13,14</sup>) as has similar lateral epitaxial overgrowth on GaN<sup>15,16,17</sup>. In these earlier proposal the holes in the oxide were formed either using 10 interferometric lithography<sup>12</sup> or electron beam lithography<sup>13,14</sup>. Instead it is possible to use the process of island lithography combined with lift-off procedures as a simpler and cheaper alternative fabrication method. This method offers the possibility of much greater flexibility in packing-densities and well-diameter together with the ability to cover larger areas at lower cost.

15 Figure 8 shows the three different structures which can be formed by varying the amounts of lateral overgrowth initiated from holes in thin silicon dioxide films. Figure 8(a) and 8(b) are examples of "island" formations while Figure 8(c) shows a continuous film. In each case, a silicon dioxide layer 3 is grown on a crystalline substrate 4, and holes are created by the lithographic process described in 20 references <sup>18-22</sup>.

This consists of (a) cesium chloride island deposition on the silicon dioxide coated substrate (b) aluminium film deposition on the surface (c) lift-off of the cesium chloride exposing the corresponding regions of the silicon dioxide (d) wet or dry etching of the silicon dioxide to create holes through to the crystalline substrate 25 (e) removal of the aluminium film. The crystalline overgrowth (1) is initiated at the bottom of these holes where a high density of dislocations (2) is formed.

References <sup>13-17</sup> are concerned with a method of reduction of dislocation densities during the growth of crystalline germanium on silicon or GaN on mismatched substrates. The method is directly applicable to other semiconductor 30 heterostructures. The technique of growth through holes in a thin silicon dioxide film can in principle also be extended to improve the interface region between disparate crystalline materials systems such as metal/semiconductor, semiconductor/insulator or even metal-metal or metal-insulator combinations.

With metal/semiconductor structures the crystalline metal could either be a 35 conventional soft metal <sup>23,24</sup>, or the more brittle compounds such as MnAs<sup>25,26</sup>, MnSb<sup>27</sup>, NiMnSb <sup>28,29,29</sup>, PtMnSb <sup>29</sup>, CuMnSb <sup>29</sup>, LnPdSb<sup>31</sup>, Co<sub>2</sub>MnGe<sup>32</sup> or Cr02<sup>33,34</sup>.

The latter are of interest because of their magnetic properties and are more likely to be susceptible to mechanical problems at the interface such as microcracking and the formation of misfit dislocations.

- Crystalline semiconductor/insulator heterostructures are mainly of interest
- 5 because of the need to make highly perfect gate dielectrics or because of the drive to integrate optical waveguides and circuitry, or surface acoustic wave (SAW) delay lines with microelectronic devices. Often a high dielectric constant (relative permittivity) is desirable for these applications. The insulators are usually oxides; e.g. strontium titanate where good quality films have been grown on Si<sup>35</sup>, KtaO<sub>3</sub>,
- 10 BaTiO<sub>3</sub>, TiO<sub>2</sub>, LiNbO<sub>3</sub> and lead lanthanum zirconate titanate (PLZT).

The discussion so far has been concerned with the production of smooth and continuous crystalline films. Also of interest is the possibility of the growth of crystalline metallic "nanomagnets" at controlled separations. Nanocrystalline magnets can often be formed rather than smooth continuous film by varying the

15 growth conditions to produce island growth or by subsequent annealing; e.g. MnAs<sup>36</sup>, MnSb<sup>37,38,39</sup> and ErAs<sup>40</sup>.

The examples selected are not inclusive of all possible applications. Any deposition procedure which results in the formation of crystalline films can in principle be used (e.g. sputtering, evaporation, laser ablation etc) although epitaxial

20 techniques are more likely to produce good results. The most common of these are Molecular Beam Epitaxy (MBE), Metallo-Organic Vapour Phase Epitaxy (MOVPE), Liquid Phase Epitaxy (LPE) and variants. In order to produce substantial lateral overgrowth over the silicon dioxide or other suitable mask MOVPE- may be preferred on account of its high chemical selectivity.

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## CLAIMS

1. A method of fabricating electronic, optical or magnetic devices requiring an array of large numbers of small features in which regions defining individual features of the array are formed by the steps of:
  - 5 (a) depositing a very thin film of a highly soluble solid onto a flat hydrophilic substrate;
  - (b) exposing the film to solvent vapour under controlled conditions so that the film reorganises into an array of discrete hemispherical islands on the surface;
  - 10 (c) depositing a film of a suitable resist material over the whole surface;
  - (d) removing the hemispherical structures together with their coating of resist leaving a resist layer with an array of holes corresponding to the islands; and
  - (e) subjecting the resulting structure to a suitable etching process so as to form a well at the position of each hole.
- 15 2. A method according to claim 1 in which the soluble solid is a salt, and the solvent is water.
3. A method according to claim 2 in which the solid is cesium chloride.
- 20 4. A method according to any of claims 1 to 3 in which the substrate comprises an  $\text{SiO}_2$  layer on silicon.
5. A method according to any of claims 1 to 3 in which the substrate comprises 25 gallium arsenide, indium antimonide, indium antimonide or another semiconductor material.
6. A method according to any preceding claim in which the resist material is deposited by evaporation, sputter deposition, or chemical vapour deposition.
- 30 7. A method according to any preceding claim in which the resist material is aluminium.

8. A method according to any preceding claim in which the removal of the coated hemispherical structures is achieved by a lift-off process which comprises submerging the structure in an ultrasonic agitation bath filled with solvent, whereby 5 the islands are dissolved and their coatings detached, leaving a perforated film over the remainder of the substrate to act as an etchant resist.

9. A method according to any preceding claim in which the etching is achieved by directional etching such as reactive ion etching or laser etching to make well-like 10 structures.

10. A method according to any preceding claim in which the evaporation of resist material is achieved by directing the vapour stream at a grazing angle of incidence to the substrate, so that each island casts a shadow in which there is no 15 vapour deposition, whereby the holes remaining in the film after removal of the hemispherical structures will be elongated.

11. A method of forming a crystalline heterostructure comprising two component materials having different lattice structures, in which the materials are arranged to 20 contact each other via a plurality of discrete regions, the method comprising the steps of:

- (a) forming a layer of the first material;
- (b) forming an insulating layer on the surface of the first material so as to provide a hydrophilic substrate;
- 25 (c) forming holes in the insulating layer using the method of any one of claims 1 to 10; and
- (d) growing crystals of the second material on the first material in the regions exposed by the holes so as to form an island at the position of each hole.

30 12. A method according to claim 11 in which the crystal growth of the second material is continued until there is a continuous film extending over the insulating layer.

35 13. A method according to claim 11 or claim 12 in which the two component materials are both semiconductors.

14. A method according to claim 11 or claim 12 in which the two component materials are both metals.

15. A method according to claim 11 or claim 12 in which the combination of  
5 materials comprises  
(a) a metal and a semiconductor; or  
(b) a semiconductor and an insulator; or  
(c) a metal and an insulator.

10 16. A method according to claim 11 or claim 12 in which one of the materials is a metal compound comprising MaAs, MnSb, NiMnSb, PtMaSb, CuMnSb, LuPdSb, C0<sub>2</sub>MnGe, or CrO<sub>2</sub>.

15 17. A crystalline heterostructure formed by the method of claim 11 or claim 12, in which one of the materials is a semiconductor and one is an insulator, the structure being arranged to form a gate dielectric device, or an integrated optical waveguide device, or a surface acoustic wave delay line together with associated circuitry as required.

20 18. A structure according to claim 17 in which the insulator has a high dielectric constant.

19. An array of devices formed by a process which includes defining the regions of individual devices using the method of any of claims 1 to 11.

25 20. A crystalline heterostructure formed by the method of any of claims 11 to 16.

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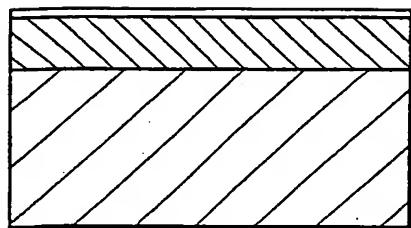


Fig. 1A

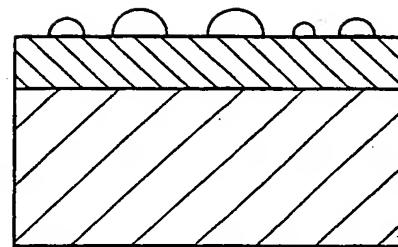


Fig. 1B

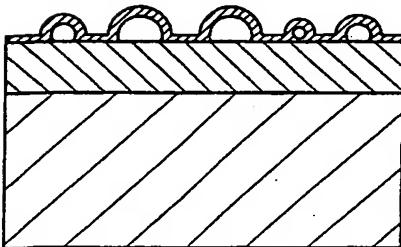


Fig. 1C

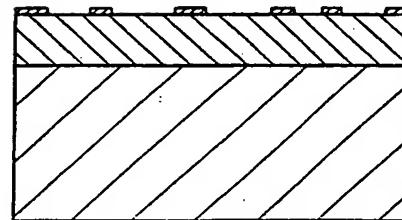


Fig. 1D

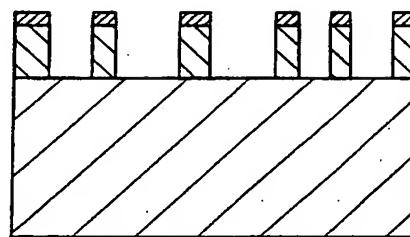
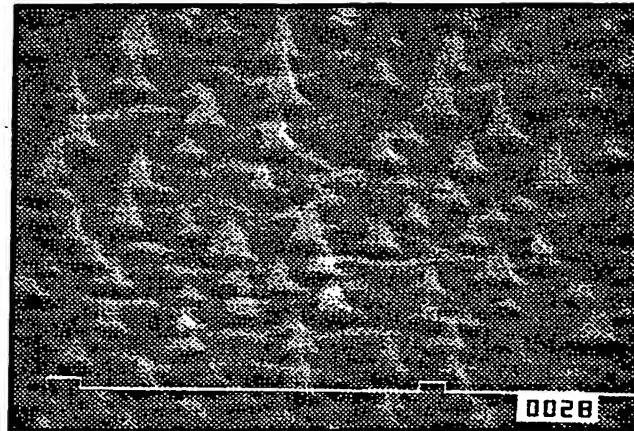


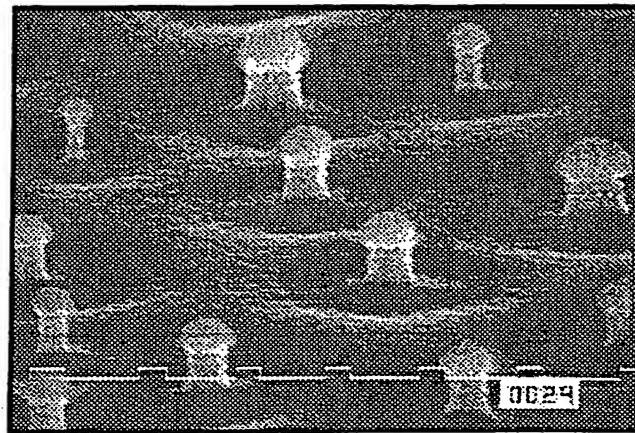
Fig. 1E

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*Tip array fabricated by RIE using CsCl as a resist*

*Fig.2*



*Pillars with CsCl caps still in place. Bar length is 10 microns;  
view at 70° to normal.*

*Fig.4*

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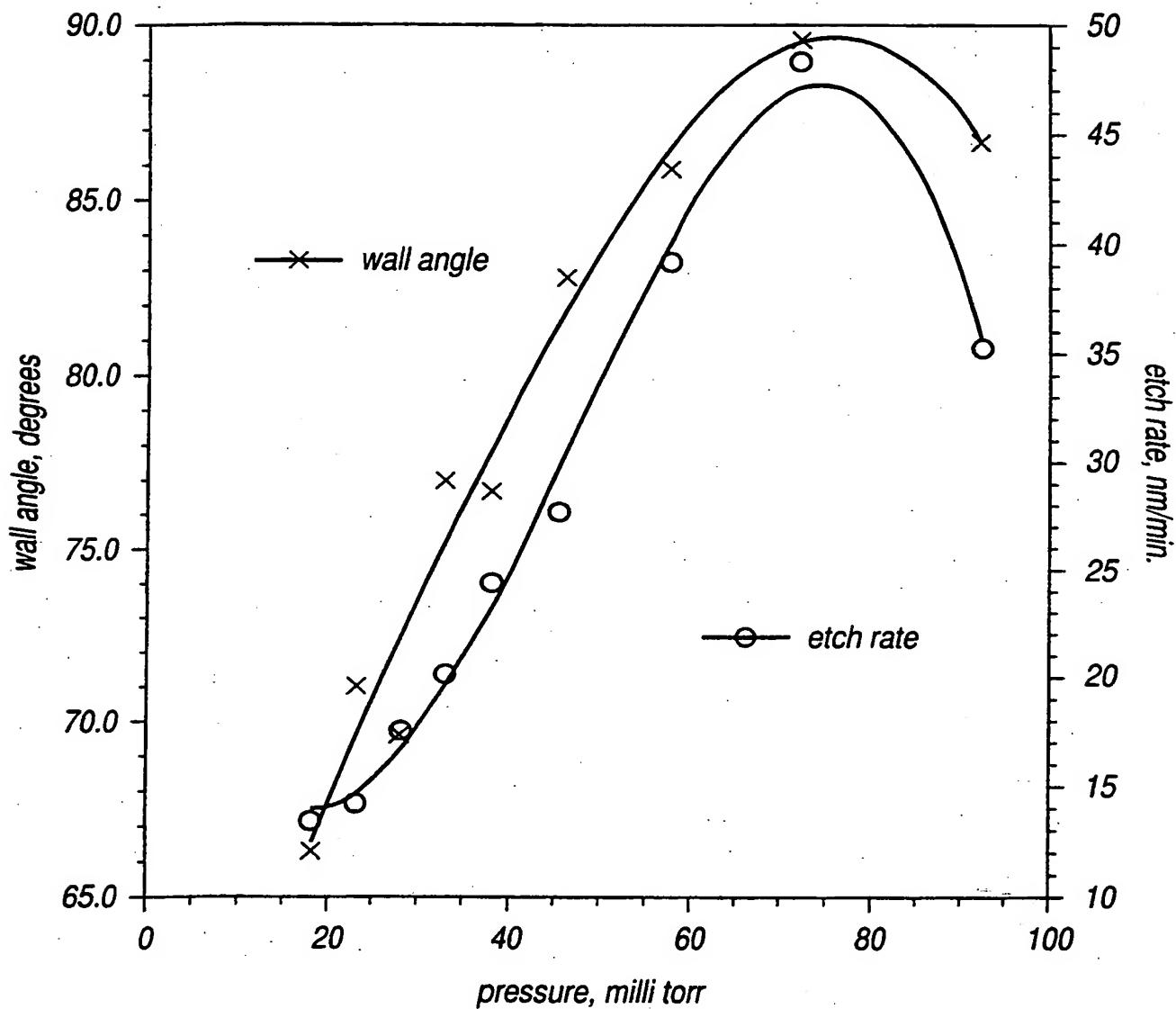
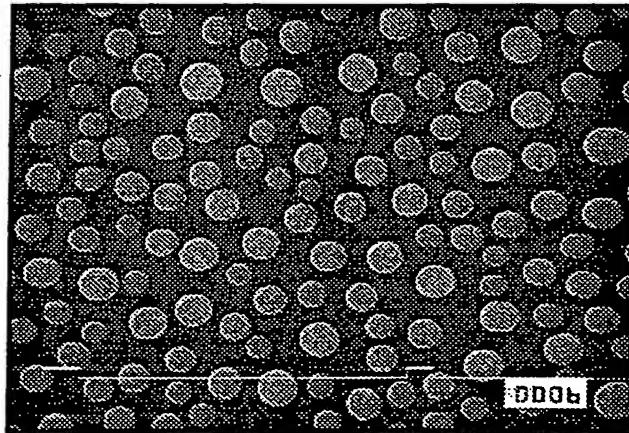


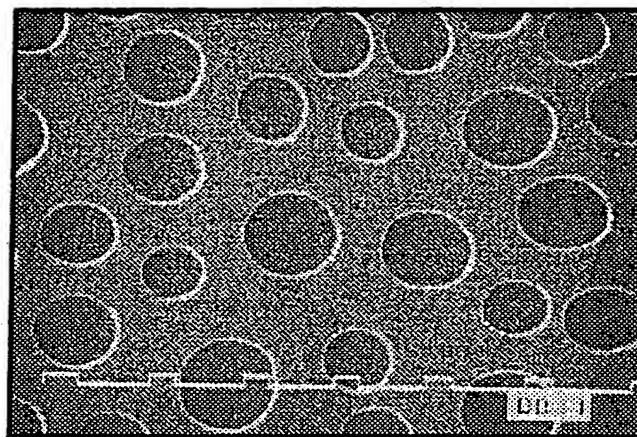
Fig.3

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*CsCl hemispheres on  $SiO_2$ , the whole coated in Al.  
(mag. 7.5; 10 micron bar)*

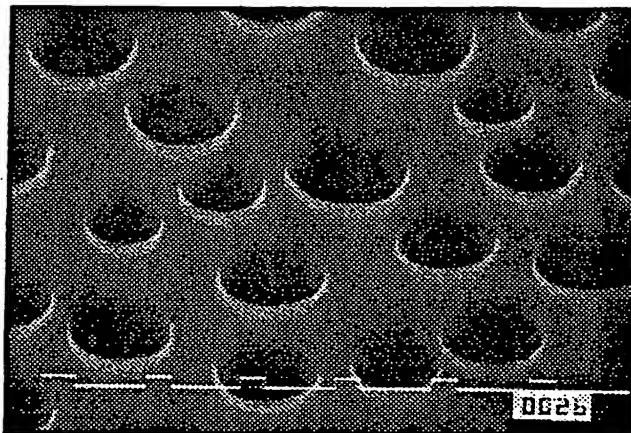
Fig.5



*CsCl removed exposing  $SiO_2$  and leaving the rest of  
the Al film. (mag. 20K; 1 micron bars)*

Fig.6

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*Wells in  $\text{SiO}_2$  on Si. The Al layer coats the oxide.  
(viewed at 45°, mag 20K; 1 micron bars)*

*Fig.7a*



*Wells in  $\text{SiO}_2$ , the Al layer is clearly visible  
(mag 50K; 1 micron bars)*

*Fig.7b*

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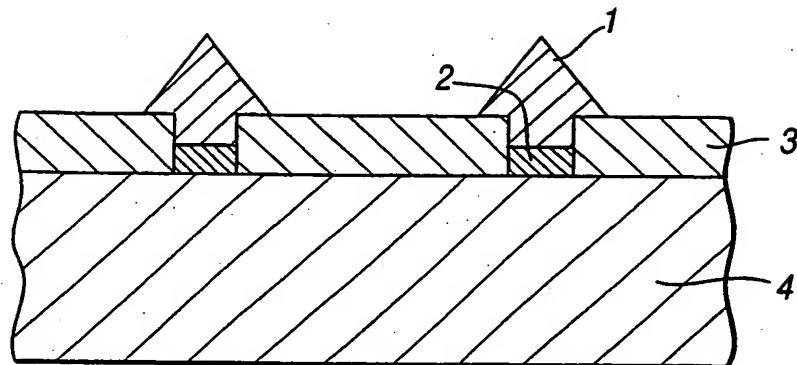


Fig.8(a)

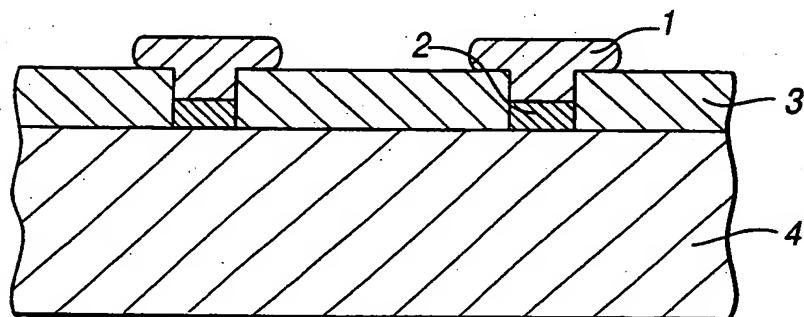


Fig.8(b)

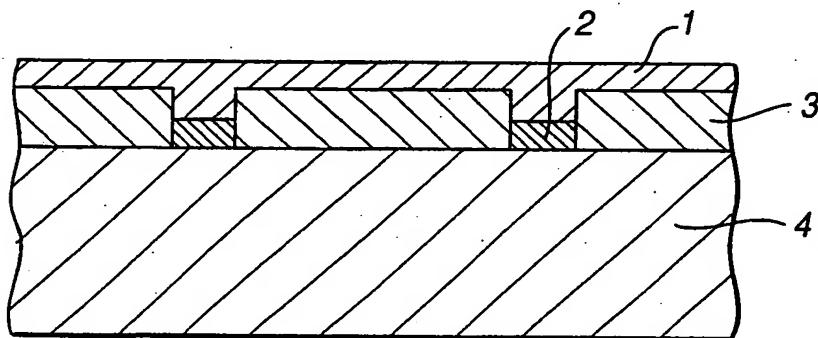


Fig.8(c)



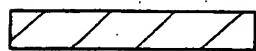
1. Crystalline overgrowth



2. Heavily dislocated region



3. Silicon dioxide



4. Crystalline substrate

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 00/03202

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 7 H01L21/033 H01L21/308		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 7 H01L		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, INSPEC, IBM-TDB, PAJ, WPI Data		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>HAGINOYA, C. ET AL: "Nanostructure array fabrication with a size-controllable natural lithography" APPLIED PHYSICS LETTERS, vol. 71, no. 20, 17 November 1997 (1997-11-17), pages 2934-2936, XP002152782 abstract page 2934, column 2, line 1 -page 2935, column 1, line 22; figure 1</p> <p style="text-align: center;">-/-</p>	1-6,8,9
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C.		<input checked="" type="checkbox"/> Patent family members are listed in annex.
<p>* Special categories of cited documents :</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p>		
Date of the actual completion of the international search	Date of mailing of the international search report	
15 November 2000	30/11/2000	
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Fax: (+31-70) 340-3016	Authorized officer  Nesso, S	

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 00/03202

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>GREEN M ET AL: "QUANTUM PILLAR STRUCTURES ON N+ GALLIUM ARSENIDE FABRICATED USING 'NATUAL' LITHOGRAPHY"  <b>APPLIED PHYSICS LETTERS</b>, US, AMERICAN INSTITUTE OF PHYSICS, NEW YORK,  vol. 62, no. 3,  18 January 1993 (1993-01-18), pages 264-266, XP000334805  ISSN: 0003-6951  <b>abstract</b>  page 265, column 1, line 21 - line 31;  <b>figure 1</b></p>	1-6,8,9
X	<p>EP 0 506 146 A (MASSACHUSETTS INST TECHNOLOGY) 30 September 1992 (1992-09-30)  <b>abstract</b>  page 12, line 7 - line 16; figures 26A-26D</p>	17,18
A	<p>US 5 871 870 A (ALWAN JAMES J)  16 February 1999 (1999-02-16)  <b>abstract</b>  column 4, line 29 - line 43; figure 2  column 5, line 62 - line 67  column 6, line 20 - line 22; figure 5</p>	1-9
A	<p>DECKMAN, H. W. ET AL: "Natural Lithography"  <b>APPLIED PHYSICS LETTERS</b>,  vol. 41, no. 4,  15 August 1982 (1982-08-15), page 377379  XP000955278  <b>abstract</b>  page 378, column 2, line 21 - line 32;  <b>figures 3,4</b></p>	1-5
P,X	<p>TSUCHIYA, S. ET AL: "Structural Fabrication Using Cesium Chloride Island Arrays as a Resist in a Fluorocarbon Reactive Ion Etching Plasma"  <b>ELECTROCHEMICAL AND SOLID-STATE LETTERS</b>,  vol. 3, no. 1, January 2000 (2000-01),  pages 44-46, XP002152784  <b>the whole document</b></p>	1-9

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Information on patent family members

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